



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/809,025	03/16/2001	Jeffrey Lange	26859.102US01

CONFIRMATION NO. 5585

26853
 COVINGTON & BURLING
 ATTN: PATENT DOCKETING
 1201 PENNSYLVANIA AVENUE, N.W.
 WASHINGTON, DC 20004-2401



OC000000006322683

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 Technology Center 2100

Date Mailed: 07/20/2001

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RESPONSE TO REQUEST CORRECTED FILING RECEIPT

Application Filing Date

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- The date on the Express Mail label is the date given on the Filing Receipt.
- The filing date accorded to the application is the date the application met the filing date requirement of 37 CFR 1.53(b) or (c).
- Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the Patent and Trademark Office.
- The filing date on your return postcard is the same as the date on your Filing Receipt.

A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY *#5*

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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CONFIRMATION NO. 5585

Bib Data Sheet

SERIAL NUMBER 09/809,025	FILING DATE 03/16/2001 RULE	CLASS 438	GROUP ART UNIT 2812	ATTORNEY DOCKET NO. 26859.102US01
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APPLICANTS

Jeffrey Lange, New York, NY;

** CONTINUING DATA *****

THIS APPLICATION IS A CIP OF 09/744,816 04/03/2001 *

WHICH IS A CIP OF 09/448,822 11/24/1999

WHICH CLAIMS BENEFIT OF 60/144,890 07/21/1999

(*) Data inconsistent with PTO records.

** FOREIGN APPLICATIONS *****

PCT/US00/19447 07/18/2000

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 05/21/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 18	TOTAL CLAIMS 22	INDEPENDENT CLAIMS 18
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

26853

TITLE

Digital options having demand-based, adjustable returns, and trading exchange therefor

FILING FEE RECEIVED 2076	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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Date: June 25, 2001 Atty Docket No. 2659.102-US01
 Inventor: Jeffrey R. Lange

Application No.: 09/809,025 Filing Date: March 16, 2001
 Title: DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR

Documents Filed:

Transmittal Letter (in duplicate);

Response to Notice to File Missing Parts of Application (in duplicate);

Copy of the Notice to File Missing Parts of Nonprovisional Application;

Replacement Patent Application Initial Information Data Sheet;

Fee Transmittal;

Declaration for Patent Application;

Power of Attorney from Assignee;

Certificate Under 37 C.F.R. § 3.73(b);

Preliminary Amendment;

Request for Corrected Filing Receipt and a marked up copy of the original Filing Receipt;

Check No. 281223 for \$2,076 for \$710 basic filing fee; \$1,236 additional claims fee; and \$130 surcharge for late filing of Declaration; and

Return receipt postcard.

O I P E
JUN 25 2001
2659.102-US01
(PATENT)

FFICE

STAMP AND RETURN

2812

er Assigned

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Technology Center 22100

RECEIVED
JUN 13 2001
1C 2300 MAIL ROOM**TRANSMITTAL LETTER**

Commissioner for Patents
 Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Response to Notice to File Missing Parts of Application (in duplicate);
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Replacement Patent Application Initial Information Data Sheet;
4. Fee Transmittal;
5. Declaration for Patent Application;
6. Power of Attorney from Assignee;
7. Certificate Under 37 C.F.R. 3.73(b);
8. Preliminary Amendment;
9. Request for Corrected Official Filing Receipt;

STAMP AND RETURN

Docket No.: 026859.102-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jeffrey R. Lange

Application No.: 09/809,025

Group Art Unit: 2812

Filed: March 16, 2001

Examiner: Not Yet Assigned

For: **DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR**

TRANSMITTAL LETTER

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Response to Notice to File Missing Parts of Application (in duplicate);
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Replacement Patent Application Initial Information Data Sheet;
4. Fee Transmittal;
5. Declaration for Patent Application;
6. Power of Attorney from Assignee;
7. Certificate Under 37 C.F.R. 3.73(b);
8. Preliminary Amendment;
9. Request for Corrected Official Filing Receipt;

Application No. 09/809,025

2

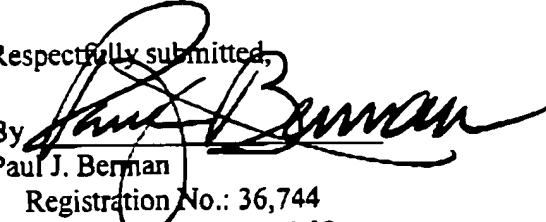
Docket No. 026859.102-US01

10. Marked-up copy of original Official Filing Receipt;
11. Check No. 281223 for \$2,076 to cover: \$710 basic filing fee; \$1,236 additional claims fee; and \$130 surcharge for late filing of Declaration; and
12. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026859.102-US01. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present application, then such extensions of time are hereby petitioned, and any fees therefore are hereby authorized to be charged to our Deposit Account 50-0740, referencing Docket No. 026859-102-US01. A duplicate copy of this paper is enclosed.

Dated: June 25, 2001

Respectfully submitted,

By 

Paul J. Berman

Registration No.: 36,744
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000
Attorneys for Applicant

COVINGTON & BURLING

1201 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004-2401
TEL 202.662.6000
FAX 202.662.6291
WWW.COV.COM

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TOTAL PAGES W/COVER

8

DATE

July 19, 2001

Technology Center 2100

FROM	EMAIL	FAX	TEL
Paul J. Berman	pberman@cov.com	202/662-6291	202/662-5468

TO	FAX	TEL
Ms. Elisha Evans	703/308-7751	703/308-1202

REMARKS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffrey R. Lange

Appl. No. 09/809,025

Filed: March 16, 2001

For: DIGITAL OPTIONS HAVING
DEMAND-BASED,
ADJUSTABLE RETURNS AND
TRADING EXCHANGE
THEREFOR

Art Unit: 2812

Examiner: Unassigned

Atty. Docket: 026859.102-US01

Request for Corrected Official Filing Receipt

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby requests that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

Under the heading of Domestic Priority data as claimed by applicant, please amend the first priority application number to read as follows:

THIS APPLICATION IS A CIP OF 09/744,816, 07/18/2000.

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red. It is therefore believed proper that a corrected Official Filing Receipt be issued, reflecting the correction of all the above-noted error. It is further requested that the corrected Official Filing Receipt be sent to the undersigned at the earliest possible time.

Application No. 09/809,025

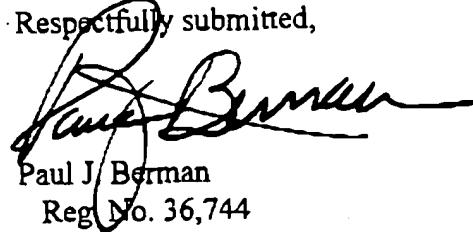
2

Docket No. 026859.102-US01

The U.S. Patent and Trademark Office is hereby authorized to charge the fee for correction, any fee deficiency, or credit any overpayment, to **Deposit Account No. 50-0740**, referencing docket number **026859.102-US01**.

Date: June 25, 2001

Respectfully submitted,


Paul J. Berman
Reg. No. 36,744

COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2401
(202) 662-6000

Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/809,025	03/18/2001	2812	0.00	26859.102US01	18	22	18

CONFIRMATION NO. 5585

26853
 COVINGTON & BURLING
 ATTN: PATENT DOCKETING
 1201 PENNSYLVANIA AVENUE, N.W.
 WASHINGTON, DC 20004-2401

FILING RECEIPT



"OC00000006104721"

Date Mailed: 05/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey Lange, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/774,816 01/31/2001*

WHICH IS A CIP OF 09/448,822 11/24/1999

WHICH CLAIMS BENEFIT OF 60/144,890 07/21/1999

(*) Data inconsistent with PTO records.

07/18/2000

Foreign Applications

PCT/US00/19447 07/18/2000

If Required, Foreign Filing License Granted 05/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Digital options having demand-based, adjustable returns, and trading exchange therefor

Preliminary Class

438

Data entry by : LE, THANH-LAN

Team : OIPE

Date: 05/22/2001

